

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Maria Anna Wubben, et al.
Title: PECTINS AS FOAM STABILIZERS FOR BEVERAGES
HAVING A FOAM HEAD
Serial No.: 08/776,321
International
Filing Date: August 3, 1995
International
Application No.: PCT/NL95/00266
Docket: 29865

LETTER

Assistant Commissioner for Patents
ATTN: BOX PCT
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements
Under 35 U.S.C. 371 in the United States Designated/Elected
Office (DO/EO/US) dated March 17, 1997, (copy enclosed) appli-
cants enclose herewith the required Declaration for Utility or
Design Patent Application. A check in the amount of \$130.00

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Date of Deposit April 15, 1997

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Linda Ibbett

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is enclosed to cover the late filing fee surcharge for the enclosed Declaration.

Applicant hereby petitions and requests for an extension of time, if any is needed, in order to make this filing timely. If there are any extension fees or other fees required by this communication, please charge any such fees to our Deposit Account No. 16-0820, Order No. 29865.

Respectfully submitted,

PEARNE, GORDON, McCOY & GRANGER

By John P. Murtaugh
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Date: April 15, 1997

FORM PTO-1390 (REV 10-95) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 29865 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 08/776321
INTERNATIONAL APPLICATION NO. PCT/NL95/00266	INTERNATIONAL FILING DATE August 3, 1995	PRIORITY DATE CLAIMED August 4, 1994
TITLE OF INVENTION PECTINS AS FOAM STABILIZERS FOR BEVERAGES HAVING A FOAM HEAD		
APPLICANT(S) FOR DO/EO/US Maria Anna Wubben and Albert Doderer		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendemnts has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11. to 16. below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information:		
		EM523986554US "Express Mail" mailing label number _____ Date of Deposit <u>January 30, 1997</u> I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. Linda Ibbett _____ Printed Name of Person Mailing Paper or Fee

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Maria Anna Wubben and Albert Doderer
Title: PECTINS AS FOAM STABILIZERS FOR BEVERAGES
HAVING A FOAM HEAD
Int. App. No.: PCT/NL95/00266
Int. Filing Date: August 3, 1995
Docket: 29865

PRELIMINARY AMENDMENT

Attn: Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please amend the above-identified application prior to its examination, as follows.

IN THE SPECIFICATION:

After the claims on page 22, please add on a separate page 23 an Abstract as follows:

--ABSTRACT OF THE DISCLOSURE

The invention provides pectins as new foam stabilizers for (temporary) foam heads in beverages, in particular in beers, especially beers of the pilsner type. These foam stabilizers are preferably obtained from hops, which is a constituent that is inherent in beer and accordingly offers the advantage, among others, that the foam stabilizers need to have no negative effect on the taste of the beer. Preferably, the pectins are obtained from hop cones or bines. It may be advantageous to partially saponify (de-esterify) the pectins, in connection with the number of charged groups on the

pectins. In addition, the invention provides methods for obtaining the pectins and beverages stabilized with the pectins according to the invention.--

IN THE CLAIMS:

Please amend claims 4, 7 and 9-11 as follows.

1 4. (Amended) A method according to [claims 1-3] claim
2 1, wherein the beverage is beer.

1 7. (Amended) A method according to [any one of claims
2 4-6] claim 4, wherein between 0.5 and 30 g pectin per
3 hectoliter beer is added.

1 9. (Amended) A beverage with a stabilized foam head,
2 [obtainable with a method according to any one of the
3 preceding claims] obtained by a method for improving the
4 stability of the foam head of beverages, wherein before,
5 during or after the preparation process of the beverage one or
6 more pectins are added thereto.

1 10. (Amended) A [beer with a stabilized foam head,
2 obtainable with a method according to any one of claims 1-8]
3 beverage according to claim 9, wherein said beverage is beer.

1 11. (Amended) A beer obtained [according to any one of
2 claims 1-8] by a method for improving the stability of the
3 foam head of beverages, wherein before, during or after the
4 preparation process of the beverage one or more pectins are
5 added thereto.

Please add the following new claims.

1 14. A method according to claim 2, wherein the beverage
2 is beer.

1 15. A method according to claim 3, wherein the beverage
2 is beer.

1 16. A method according to claim 5, wherein between 0.5
2 and 30 g pectin per hectoliter beer is added.

1 17. A method according to claim 6, wherein between 0.5
2 and 30 g pectin per hectoliter beer is added.

REMARKS

The specification has been amended to add an Abstract at the proper location. The claims have been amended to eliminate multiple dependency and add new dependent claims.

If there are any additional fees required by this Amendment, please charge such fees to our Deposit Account No. 16-0820, Order No. 29865.

Respectfully submitted,

PEARNE, GORDON, McCOY & GRANGER

By John P. Murtaugh
John P. Murtaugh, Reg. No. 34226

1200 Leader Bldg.
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(216) 579-1700

Date: Jan. 30 - 1997

8045

Title: Pectins as foam stabilizers for beverages having a
foam head.

The invention relates to the use of pectins in the stabilization of foam heads of beverages such as beer.

In addition, the invention relates to methods for producing such pectins and beverages stabilized with such
5 pectins.

Pectins are polysaccharides occurring in particular in the cell walls of dicotylous plants. The main chain of pectins contains α -D-galacturonic acid, while residues may contain L-rhamnose, D-galactose, L-arabinose, D-xylose and L-fucose.
10 Each type of plant, in principle even each variety, possesses type-specific pectins whose compositions differ from those of the pectins of other types/varieties.

Hitherto, pectins have been used in particular in jelly-like products such as confiture and other fruit-jelly
15 products. The pectins used herein are generally isolated from apple pulp and citrus pulp (see for instance US Patent Specification No. 4,943,443).

US Patent 5,008,254 describes pectins that are isolated from sugar beet pulp and can be used for improving various
20 properties such as nutritional value and in many applications such as the improvement of consistency, non-hygroscopic adhesive, stabilizer of emulsions, etc.

In column 15 of the patent specification in question, the use of these pectins as a foam improver is mentioned, with the
25 understanding that marshmallows and imitation whipped cream are involved here.

Of course, these permanent foams cannot be compared with the foam head of a beverage such as beer.

Beer differs from other beverages through, among other
30 things, a persistent foam head.

2025 FEB 22 1992

Owing to the natural ingredients of beer and the specific know-how of the brewer, a foam of good quality can be obtained.

The most important properties of such a foam are:

- compactness
- slow, regular settlement
- good adhesion to the wall of the glass
- formation of fine-meshed "clings" during the drying of the foam.

These parameters, which are of particular importance for the consumer's appreciation of the beer, can be determined relatively objectively by means of equipment that is available on the market.

To obtain a high-quality foam, a foam stabilizer is added to various beers.

In general, the substance montol is used, although cobalt salts and iron salts are used as well.

In a number of countries, the addition of such substances is not allowed, as they are not necessary for the preparation of beer and/or are not inherent to beer.

Montol is a polypropylene glycol alginate (a composition of β -D-mannuronic acid and α -L-guluronic acid having a molecular weight of between 30,000 and 200,000). This substance is isolated from algae. It is isolated in particular from the brown algae *Laminaria digitata* and *Macrocystis pyrifera*.

A known drawback of the use of montol, apart from the fact that it is not inherent in beer, are the chances of precipitate formation in the final product.

The invention provides a method for improving the stability of the foam head of beverages, wherein one or more pectins are added to the beverage before, during or after the process of its preparation.

Preferably added are pectins that have been isolated or extracted from the hop plant or other necessary starting materials for beer, on account of the fact that these pectins are derived from an ingredient that is inherent in beer and

hence will not affect the taste properties, which could well be the case with commercially available pectins from, for instance, citrus fruits.

Although hops are added in the form of hop cones, pellets, hop concentrates or isomerized hop extract during the process of brewing beer, their presence does not result in the presence of pectins from the hops with a foam-stabilizing action in the eventual beer, as the process conditions of the brewing process (for instance the high temperature at neutral pH during wort boiling) lead to the breakdown of the pectins, for instance due to, inter alia, the β -elimination reaction according to Albersheim (Albersheim et al., 1960) (the breaking of glycoside bonds next to carboxymethyl groups). Due to this breakdown, their foam-improving capacity is also lost.

Hence, US Patent Specification No. 3,099,563, which relates to foam stabilizers for beer, starting from residual products of the brewing process, cannot relate to pectins from hops or other beer ingredients. It is not clear which substances are in fact prepared with the method according to this patent specification.

According to the present invention, it is preferred to start from pectins isolated from fresh hop parts or from by-products of the hop extraction.

Preferably, the pectins according to the present invention are obtained from the hop cones or the bines of the hop plant. The pectins do not need be isolated to a high purity, although this is in fact preferred, in particular because of the possible presence of undesired substances that may have a negative effect on the taste, the color or the foam stability of the eventual end product, such as for instance polyphenols.

The action of the pectins according to the invention is probably based on the same principle as the action of montol. Pectins as well as alginates possess a charge in beer (as described by Benard et al. Ann. Fals. Exp. Chim., 1981), enabling them to start an interaction with beer proteins. This may lead to a more stable foam.

If this charge of the pectins is indeed relevant for the foam-stabilizing action thereof, it may be advantageous to subject the isolated pectins to a partial saponification/de-esterification reaction. The average normal degree of esterification of 70% can then be reduced to 40-50%.

In the above-referred publication by Benard et al., pectins that may be present are only mentioned as being interfering during a montol determination, and nothing is mentioned about any function of those pectins.

The pectins according to the invention can be added at any desired moment from about 10 minutes before the end of the wort boiling (this is not critical) to the end of the preparation process. In any case, they have to be added late enough to prevent the above-mentioned breakdown from taking place to a large extent. Preferably, the pectins are added before the bright beer filtration, because any precipitates that may be present can be removed by means of the filtration. When, during the brewing process, a step known as posthopping (adding a hop preparation at the end of the wort boiling) is applied, the pectin preparation can suitably be added to this hop preparation.

The amounts of pectin that have to be added in order to achieve the improved stability can readily be determined by a skilled person. They will depend on, inter alia, the purity of the pectin preparation and the type of beer to which the preparation is added. In general, the amount of preparation to be added will be between 0.5 and 20 g/hl, preferably around 3 g/hl.

In principle, the invention is applicable to all types of beer for which a foam head is desired. The invention is in particular suitable for use in for instance beer of the pilsner type. (A bottom-fermented gold-colored beer having a characteristic hopped taste.)

According to the invention, with the pectins isolated from hops a foam stability is obtained that is at least as good as the foam stability obtained with montol, without the drawbacks attached thereto, and when the purity of the pectins

is sufficiently high, even a surprisingly better foam stability is obtained.

The invention will be explained in and by the following examples.

5

EXAMPLE 1

INTRODUCTION

10 The stabilization of the foam with pectin is probably based on the fact that pectin has a charge in beer. As a consequence, it may form compounds in the surface of the foam films. Hops contain 1-3% (d.s.) pectin. Hence, the pectins were isolated from hops and compared with commercially available pectins from Quest International.

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RESULTS

When pectins are added to beer, an improvement of the foam stability can indeed be observed after incubation for 2 days by shaking of the bottle. The foam figures are given in Table 1 (Nibem meter).

Table 1		foam	average	test	
		stability		minus	
		(sec)		contr.	
Hop pectin	(1 g/hl)	275	273	274	39
	5 "	266	285	275	40
	10 "	282	269	275	40
Pectin	1 "	283	262	272	37
(vis 200)	5 "	283	305	289	54
	10 "	300	301	300	65
Pectin	1 "	275	271	273	38
(200816)	5 "	289	288	288	53
	10 "	286	304	300	65
Control	0 "	225	245	235	--

1. Pectin exhibits good foam-stabilizing properties in dosages of 5 g/hl in beer.
2. The foam-stabilizing properties of hop constituents are based not only on those of the bittering substances, but also on those of the pectins from hops.

METHOD

From a water extract of hop cones, pectins (1-3% d.s.) can be extracted according to the following method:

1. Incubate the extract with 0.3 N HCl at 70°C for 4 hours. Then centrifuge after the pH has been adjusted to 3 with Na₂CO₃.

5 2. Next, add Al₂(SO₄)₃ and adjust the pH to 4 with Na₂CO₃. Separate the precipitate by centrifugation.

3. Next, add Al₂(SO₄)₃ and adjust the pH to 4 with Na₂CO₃. Separate the precipitate by centrifugation.

10 The pectins were added to bottles of beer in dosages as indicated in Table 1. After this, the bottles were shaken slowly at room temperature for two days. Finally, at the service laboratory, the foam stabilities were determined in duplicate.

15 EXAMPLE 2

2.1 MATERIAL

Exploratory experiments were conducted with Northern Brewer A, B and C (Dutch hops). The experiments were repeated with four
20 other varieties (German hops). Northern brewer A and B originate from the same location, Northern brewer C comes from another location.

Table 2

25

Variety of hop	Hop cones	Bines	Waste
Northern brewer A	X	X	-
Northern brewer B	X	X	-
30 Northern brewer C	X	x	-

Hersbrücker	X	X	X
Aroma perle	X	X	X
Northern brewer	X	-	X
35 Brewers gold	X	X	X

For comparison, the foam stabilization experiments were also conducted with commercial citrus pectin (DE 67%) and montol. For the foam experiments, reference pilsner beer was used.

2.2 METHODS

1) Pectin extraction

The separate parts of the hop plant (bines, cones, leaves and the waste) were extracted with water (acidified to pH 2) to isolate pectin. The procedure followed is set forth in annex 1.

2) Determination of the AUA content and degree of esterification

The purity of the isolated pectin fractions was determined by means of a titration/saponification/titration. JECFA: Compendium of food additive specifications, volume 2, Food and Agriculture Organization of the United Nations, Rome 1992, p 1055.

The content of AUA (anhydrogalacturonic acid) can thus be determined. Thus, the degree of esterification (DE) of the fractions was determined as well.

3) Determination of the foam influence of pectin

The purified pectin fractions were added to beer to determine the influence thereof on the foam stability. The procedure is described in annex 2.

2.3 RESULTS

2.3.1 Dutch hops

The Dutch hop plants were harvested at two points of time to investigate variation in the maturity of the plant (time 1 is the proper moment of harvesting; the hop cones have the required maturity (plant A); time 2 is approx. 3 weeks after the proper time of harvesting (the leaves, cones and bines are

withered (plants B and C)). Table 3 shows the yields of the extracted pectin fractions. The leaves of all plants gave too low a yield of pectin, as a consequence of which they are not further considered separately.

Table 3 Yields of extraction from the Dutch hop plants

Pectin	weighed-in	volume	pectin	%
	(g)	extraction	weight	extracted
		(ml)	(mg)	
Bine A	20	100	440	2.2
Bine B	90	500	310	0.34
Bine C	610	2600	3500	0.57
Cones A	30	250	440	1.2
Cones B	75	600	520	0.69
Cones C	160	1300	1400	0.88

At the proper time of harvesting, more pectin can be isolated from the bines and cones than approx. 3 weeks after this time. Particularly the bines are sensitive to the time of harvesting (the extracted pectin content decreases by 75-85%). The purity of the fractions also decreases (% AUA from 80 to 70). The degree of esterification of the cones and bines remains equal in time and is 75% for both.

Fig. 1 shows the influence of the hop pectins on the foam stability of beer in comparison with commercial citrus pectin (DE 67%) and montol (in annex 3 the results are given in tables). For dosing the pectin fractions 100% purity was assumed. However, the AUA content of bine A and cone A is 80%, the AUA content of bines B and C and cones B and C is 70%. For the comparison with montol, this should be taken into account. This was not corrected for in the experiments with the Dutch

hops, but it was corrected for in the experiments with the German hops.

Up to a content of 5 g/hl, the foam-stabilizing action of bine/cone pectin (A and B) is equal to the action of montol.

- 5 At higher concentrations, the action of pectin lags behind when compared with montol (partly due to the 70-80% purity of the fractions). Bine/cone pectin extracted from plant C shows a different pattern. The cone pectin has a negative effect on the foam stability, while the bine pectin has a greater
- 10 positive effect on the foam stability in comparison with bine pectin of plant A and B. It is possible that in the case of the cone pectin (plant C) more foam-negative components (such as polyphenols) have been extracted along.

15 2.3.2 German hops

From the bines, cones and the waste of four German hop varieties, pectin was extracted as well.

- The yields are given in Table 4. In the experiments, the leaves are not considered on account of the low pectin yields
- 20 in Dutch hop plants.

Waste is a mixture of bines, leaves and cones such as it is left in the field after harvesting.

Table 4 **Yields of the pectin extraction from the**
German hop plants

Pectin	weighed-in	volume	pectin	%
	(g)	extraction	weight	extracted
		(ml)	(mg)	
Bine 1	250	1250	2.64	1.06
Bine 2	250	1250	5.46	2.18
Bine 4	250	1250	4.99	2.00
Cones 1	165	1750	4.36	2.64
Cones 2	165	1750	3.26	1.98
Cones 3	165	1750	2.36	1.43
Cones 4	150	1750	3.01	2.00
Waste 1	250	1750	4.04	1.62
Waste 2	250	1750	3.75	1.50
Waste 3	250	1750	6.73	2.69
Waste 4	250	1750	4.78	1.91

From the German hops a greater pectin fraction is extracted than from the Dutch hops. However, the purity of these preparations is lower than for the Dutch hops. This is probably due to the fact that for the German hops more material was purified at the same time. The AUA contents are shown in Fig. 2 (in annex 6 the results are shown in tables).

The average purity of the fractions is around 60%. The degree of esterification of all isolated pectin fractions is around 70% (in annex 6 the results are shown in tables).

Figs 3-5 show the influence of the different hop pectin fractions on the foam stability of reference beer in comparison with commercial citrus pectin (DE 67%) and montol (in annex 4 the results are shown in tables). In these Figures, a purity of 60% for the pectin fractions was assumed.

The concentration of the montol added was therefore also reduced to 60% to enable a good comparison between the two.

Bine pectin, hop cone pectin and montol give an almost equal foam stability after being added to reference beer. At an addition of 3 g/hl the foam improvement is approx. 40 sec. At a dosage of 3 g/hl, waste pectin gives an average foam improvement of 35 sec. To all pectin fractions it applies that the stabilization is variety-dependent. If the dosage of the pectin fractions is adjusted, so that 1, 5 and 10 g AUA/hl is dosed, the foam stability is not proportionally increased (see Fig. 6, in annex 5 the results are shown in tables). The fractions are only 60% pure on average, the other 40% may also consist of foam-negative components. If the dosage of the pectin fractions is increased, more foam-negative components may end up in the beer as well. In order to reduce or eliminate this problem, the fractions must be purified more.

After the addition to water and beer it was investigated whether the isolated pectin fractions were detectable by means of the montol test. As a standard, mannuronic acid was included. Fig. 7 shows the chromatograms. This proves that according to this method, pectin is not detectable. The course of the standard beer is identical to that of standard beer to which hop pectin has been added.

2.4 CONCLUSIONS

Pectins can be extracted from the different parts of the hop plant (bines, cones). The pectin yield from leaves was too small for experiments. Sufficient pectin can, however, be extracted from the waste that is left behind in the field after harvesting. The purity (AUA content) of the pectin fractions proves to depend on the time of harvesting, the amount of material during purification and the hop variety. The average degree of esterification of the Dutch hop plants is 75% and of the German hop plants 70%. Little difference is discernible between bines, cones or the waste. After addition to pilsner reference beer, "bine" and "hop cone" pectin yield the best foam improvements and these results are comparable

with montol additions. An addition of 3 g pectin or montol per hl yields a foam improvement of approx. 40 sec.

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Annex to Example 2

ANNEX 1 PECTIN EXTRACTION FROM HOPS

- 5 1 Grinding the separate hop parts (cones, leaves, bines and
the waste) (priorly freezing with nitrogen).
2 Adding warm water acidifying with HCl to pH 2.
3 Maintaining for 2 hours at 80°C under constant agitation.
4 Filtering over cheese cloth.
10 5 Mixing the supernatant with alcohol 96% (1:2) without
neutralizing.
6 Filtering over cheese cloth.
7 Washing out precipitate 2x with 60% alcohol.
Washing out precipitate 1x with 96% alcohol (with
15 intermediate fine-grinding with the ultraturrax).
8 Filtering over cheese cloth.
9 Drying overnight in Petri dish.

ANNEX 2 DETERMINATION OF THE FOAM INFLUENCE OF
20 PECTINS

- 1 Dried pectins were crushed and dissolved in 5 ml water
under heating before being added to beer in the following
concentrations: 3 mg/bottle (approx. 1 g/hl), 15 mg/bottle
25 (approx. 5 g/hl), 30 mg/bottle (approx. 10 g/hl). For this
purpose, the pectin fractions were assumed to be 100 %
pure. An experiment was conducted wherein the addition was
based on the actual purity of the pectin fractions.
2 The bottles were shaken (50 rpm) for 48 hours (Dutch hops)
30 or 60 hours (German hops).
3 Measurement of the foam stability with the Nibem meter.

ANNEX 3 RESULTS OF FOAM STABILITY AFTER ADDITION OF
PECTINS TO BEER (PECTINS ISOLATED FROM DUTCH HOPS) -
addition based on 100% purity

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	Content added (g/hl)	Actual content AUA (g/hl)	Foam stability (sec)	Test minus control (sec)
commercial pectin	1	1	273	16
29-9-93	5	5	276	19
control 257 sec	10	10	287	30
12-10-93	1	1	273	7
control 267 sec	5	5	285	18
	10	10	296	29
28-10-93	1	1	288	8
control 276 sec	5	5	313	37
	10	10	320	44
bine A	1	0.8	265	8
29-9-93	5	4	281	24
control 257 sec	10	8	285	28
28-10-93	1	0.8	275	-1
control 276 sec	5	4	308	32
	10	8	316	40
bine B	1	0.7	298	22
26-10-93	5	3.5	310	34
	10	7	320	44
bine C	1	0.7	276	9
12-10-93	5	3.5	305	38
control 267 sec	10	7	318	51
28-10-93	1	0.7	283	7
control 276 sec	5	3.5	328	52
	10	7	-	-
cones A	1	0.8	265	8
29-9-93	5	4	284	27
control 257 sec	10	8	284	27
28-10-93	1	0.8	265	-9
control 276 sec	5	4	312	36
	10	8	319	43
cones B	1	0.7	289	13
26-10-93	5	3.5	290	14
	10	7	293	17
cones C	1	0.7	238	-29
12-10-93	5	3.5	192	-75
control 267 sec	10	7	180	-87
28-10-93	1	0.7	247	-19
control 276 sec	5	3.5	215	-61
	10	7	192	-84
montol	1	1	297	21
28-10-93	5	5	314	38
control 276 sec	10	10	340	64

ANNEX 4 RESULTS OF FOAM STABILITY AFTER ADDITION OF
PECTINS TO BEER (PECTINS ISOLATED FROM GERMAN HOPS) -
addition based on 100% purity

Experiment 15-11-1993	Content added (g/hl)	Actual content AUA (g/hl)	Foam stability (sec)	Test minus control (sec)
control water	-	-	302	-
control water	-	-	306	-
commercial pectin	1	1	323	19
	5	5	337	33
	10	10	356	52
montol	0.6	0.6	314	10
	1	1	335	31
	3	3	346	42
	5	5	367	63
	6	6	368	64
	10	10	381	77
bine 1	1	0.66	319	15
	5	3.3	346	42
	10	6.6	354	50
bine 2	1	0.75	323	19
	5	3.75	343	39
	10	7.5	374	69
bine 4	1	0.64	320	16
	5	3.2	336	32
	10	6.4	468	64
cones 1	1	0.61	325	21
	5	3.05	351	47
	10	6.1	365	61
cones 2	1	0.65	316	12
	5	3.25	342	38
	10	6.5	366	62
cones 3	1	0.56	319	15
	5	2.8	346	42
	10	5.6	360	56
cones 4	1	0.6	313	9
	5	3	341	37
	10	6	359	55
waste 1	1	0.55	320	16
	5	2.75	-	-
	10	5.5	345	41
waste 2	1	0.56	320	16
	5	2.8	339	35
	10	5.6	351	47
waste 3	1	0.72	309	5
	5	3.8	334	30
	10	7.2	360	56
waste 4	1	0.65	314	10
	5	3.25	343	39
	10	6.5	352	46

ANNEX 5 RESULTS OF FOAM STABILITY AFTER ADDITION OF
PECTINS TO BEER (PECTINS ISOLATED FROM GERMAN HOPS) -
addition based on purity measured

Experiment 19-11-1993	Content added (g/hl)	Actual content AUA (g/hl)	Foam stability (sec)	Test minus control (sec)
control water			301	-
bine 1	5.2	1	322	21
	31	5	348	47
	51	10	359	58
bine 4	4.7	1	323	22
	23	5	341	40
	47	10	373	72
montol	1.8	1.8	311	10
	3	3	329	28
	9	9	343	42
	15	15	358	57
	18	18	369	68
	30	30	378	77

ANNEX 6 PURITY OF THE PECTIN FRACTIONS (AUA CONTENT)
AND DEGREE OF ESTERIFICATION (DE) OF THE GERMAN HOP VARIETIES

Sample	AUA (mg)	AUA (%)	DE (%)
pectin commercial	285	95	69
bine 1	146	66	76
bine 2	227	75	70
bine 4	192	64	73
cone 1	183	61	69
cone 2	194	65	75
cone 3	164	56	72
cone 4	181	60	68
waste 1	164	55	75
waste 2	167	56	77
waste 3	225	72	70
waste 4	195	55	77

EXAMPLE 3**3.1 MATERIAL**

Residues of the following hop extracts were used:

- 5 A Ethanol extract residues
- B CO₂ extract residues
- C CO₂ extract residues
- D Hexane extract residues

For comparison, the foam-stabilization experiments were
10 also conducted with commercial citrus pectin (DE 67%), montol
and priorly purified pectin fractions from hop bines and hop
cones (Example 2)

For the foam experiments reference beer was used.

15 3.2 METHODS**3.2.1) Pectin extraction**

The ground residues were extracted with water (acidified
to pH 2) to isolate pectin. The procedure followed is set
20 forth in annex 1.

3.2.2) Determination of the foam influence of pectin

The purified pectin fractions were added to beer in order
to determine the influence thereof on the foam stability. The
25 procedure is described in annex 2.

3.3 RESULTS

From different hop suppliers residues were obtained that
are left behind after the production of hop extracts. From
30 these residues pectins were isolated. The yields are shown in
Table 5. The yields of pectin from these residues are
comparable with the yields from fresh material (cones and
binés). Residues from CO₂ extracts were obtained from two
suppliers and reveal different pectin yields. However, the
35 extraction procedure for the two suppliers is not completely
known and different hop varieties were used. Example 2 has
shown that the variety influences the amount of pectin that
can be isolated.

Table 5 Yield of pectin fractions purified from residues formed during the preparation of different hop extracts

Sample	Pectin yield (%)
residues ethanol extract A	2.3
residues CO ₂ extract B	1.8
residues CO ₂ extract C	2.5
residues hexane extract D	2.4

Fig. 8 shows the influence of the residual pectins on the foam stability of pilsner beer in comparison with commercial citrus pectin, montol and bine pectin (see Example 2). In annex 3 the results are given in tables. For dosing the pectin fractions 100% purity was assumed. However, the AUA content of the residual fractions will be lower (was not determined). For the comparison with montol, this should be taken into account.

The foam-stabilizing action of pectin from ethanol and hexane extract residues is not substantial. Beer to which these pectins were added exhibits a foam stabilization that is virtually equal to that of control beer. A positive effect can be observed after the addition of pectins from residues of CO₂ extracts. At an addition of 10 g pectin/hl. the foam improvement is 26 sec. The pectins from bine and cones (previous experiment) give an increase of 40 sec, however without corrections having been made for the purity of the fractions.

ANNEX 1 (to Example 3) PECTIN EXTRACTION FROM HOPS

- 1 Grinding the different extracts (priorly freezing with nitrogen).
- 5 2 Adding warm water (water:material ratio, see Table 4.1), acidifying with HCl to pH 2.
- 3 Maintaining for 2 hours at 80°C under constant agitation. Filtering over cheese cloth. Mixing the supernatant with alcohol 96% (1:1.5) without neutralizing.
- 10 4 Filtering over cheese cloth.
- 5 Washing out precipitate 3x with 96% alcohol.
- 6 Filtering over cheese cloth.
- 7 Drying overnight in Petri dish.

ANNEX 2 (to Example 3) DETERMINATION OF THE FOAM INFLUENCE OF PECTINS

- 1 Dried pectins were crushed and dissolved in 5 ml water under heating before being added to beer in the following concentrations: 15 mg/bottle (approx. 5 g/hl) and 30 mg/bottle (approx. 10 g/hl). For this purpose, the pectin fractions were assumed to be 100% pure.
- 2 The bottles were shaken (50 rpm) at room temperature for 60 hours.
- 25 3 Measurement of the foam stability with the Nibem meter.

**ANNEX 3 FOAM STABILITY OF BEERS TO WHICH DIFFERENT
PECTIN FRACTIONS WERE ADDED**
(to Example 3)

5				
	Sample	Amount	Foam	Increased
		added	stability	stability
		g/hl	sec	sec
10				
	Control	--	280	--
	Control water	--	300	--
	Residues hexane	5	300	0
	extract A	10	294	0
15				
	Residues CO ₂	5	302	2
	extract B	10	327	27
	Residues CO ₂	5	300	0
20	extract C	10	326	26
	Residues ethanol	5	296	0
	extract D	10	298	0
25	Montol	5	345	45
		10	361	61
	Commercial	5	323	23
	pectin	10	355	55
30	Bine 1	10	344	44
	Cones 1	10	338	38

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CLAIMS

1. A method for improving the stability of the foam head of beverages, wherein before, during or after the preparation process of the beverage one or more pectins are added thereto.
2. A method according to claim 1, wherein an extract of one
5 or more pectins, obtained from hops is used.
3. A method according to claim 2, wherein the extract is obtained from bines and/or cones of the hop plant.
4. A method according to claims 1-3, wherein the beverage is beer.
- 10 5. A method according to claim 4, wherein pectins are added during the preparation process as from 30 minutes before the end of the wort boiling, in such a manner that no significant part of the foam-stabilizing action is lost through boiling.
6. A method according to claim 5, wherein pectins are added
15 before the bright beer filtration.
7. A method according to any one of claims 4-6, wherein between 0.5 and 30 g pectin per hectoliter beer is added.
8. A method according to claim 7, wherein approximately 3-10 g pectin per hectoliter beer is added.
- 20 9. A beverage with a stabilized foam head, obtainable with a method according to any one of the preceding claims.
10. A beer with a stabilized foam head, obtainable with a method according to any one of claims 1-8.
11. A beer obtained according to any one of claims 1-8.
- 25 12. The use of hop pectins as foam stabilizer for foam heads of beverages.
13. A method for extracting pectins from hops, wherein hop plants or parts thereof are subjected to an extraction in an aqueous solution at a temperature of 50-100°C and a pH of
30 1-3.5.

Type a plus sign (+) inside this box → ☐

Approved for use through 9/30/98 PTO/SB/01 (4-96) OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number	29865
First Named Inventor	Maria Anna Wubben
COMPLETE IF KNOWN	
Application Number	08/776,321
Filing Date	January 30, 1997
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PECTINS AS FOAM STABILIZERS FOR BEVERAGES HAVING A FOAM HEAD

(Title of the invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY)

01/30/1997

as United States Application Number or PCT International

Application Number

08/776,321

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §385(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/NL95/00266	PCT	08/03/1995	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9401273	the Netherlands	08/04/1994	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>


☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	<u>Albert</u>	Middle Initial		Family Name	<u>Doderer</u>	Suffix e.g. Jr.	
Inventor's Signature				Date	April 3, 1997		
Residence: City	<u>Zoetermeer</u> <u>NL</u>	State		Country	the Netherlands	Citizenship	NL
Post Office Address Albast 47, 2719 TV							
Post Office Address							
City	<u>Zoetermeer</u>	State		Zip		Country	the Netherlands
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	

☐ Additional inventors are being named on supplemental sheet(s) attached hereto

Type a plus sign (+) inside this box → ☐

DECLARATION				Page 2	
<p>I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.</p>					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)		
	PCT/NL95/00266	08/03/1995			
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.					
<p>As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:</p>					
Name	Registration Number	Name	Registration Number		
John P. Murtaugh Michael W. Garvey David E. Spaw	34226 35878 34732 3				
<input type="checkbox"/> Additional registered practitioner(s) named on a supplemental sheet attached hereto.					
Direct all correspondence to:					
Name <u>PEARNE, GORDON, MCCOY & GRANGER LLP</u>					
Address <u>1200 Leader Building</u>					
Address					
City <u>Cleveland</u>		State <u>Ohio</u>		ZIP <u>44114</u>	
Country <u>U.S.A.</u>		Telephone <u>(216) 579-1700</u>		Fax <u>(216) 579-6073</u>	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
Name of Sole or First Inventor: <input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Middle Initial	A.	Family Name	Suffix e.g. Jr.	
Maria	1-00	A.	Wubben		
Inventor's Signature				Date April 3, 1997	
Residence: City <u>Delft</u> <u>NLX</u>		State		Country <u>the Netherlands</u>	
Post Office Address		<u>Mienette Stormstraat 7, 2614 HR</u>			
Post Office Address					
City <u>Delft</u>		State		Zip	
Country <u>the Netherlands</u>					
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto					

08/03/1995 PCT/NL95/00266

Improvement of the foam stability of pilsner reference beer, after addition of hop pectin (from bines or cones), commercial pectin (100%) and montol (100%)

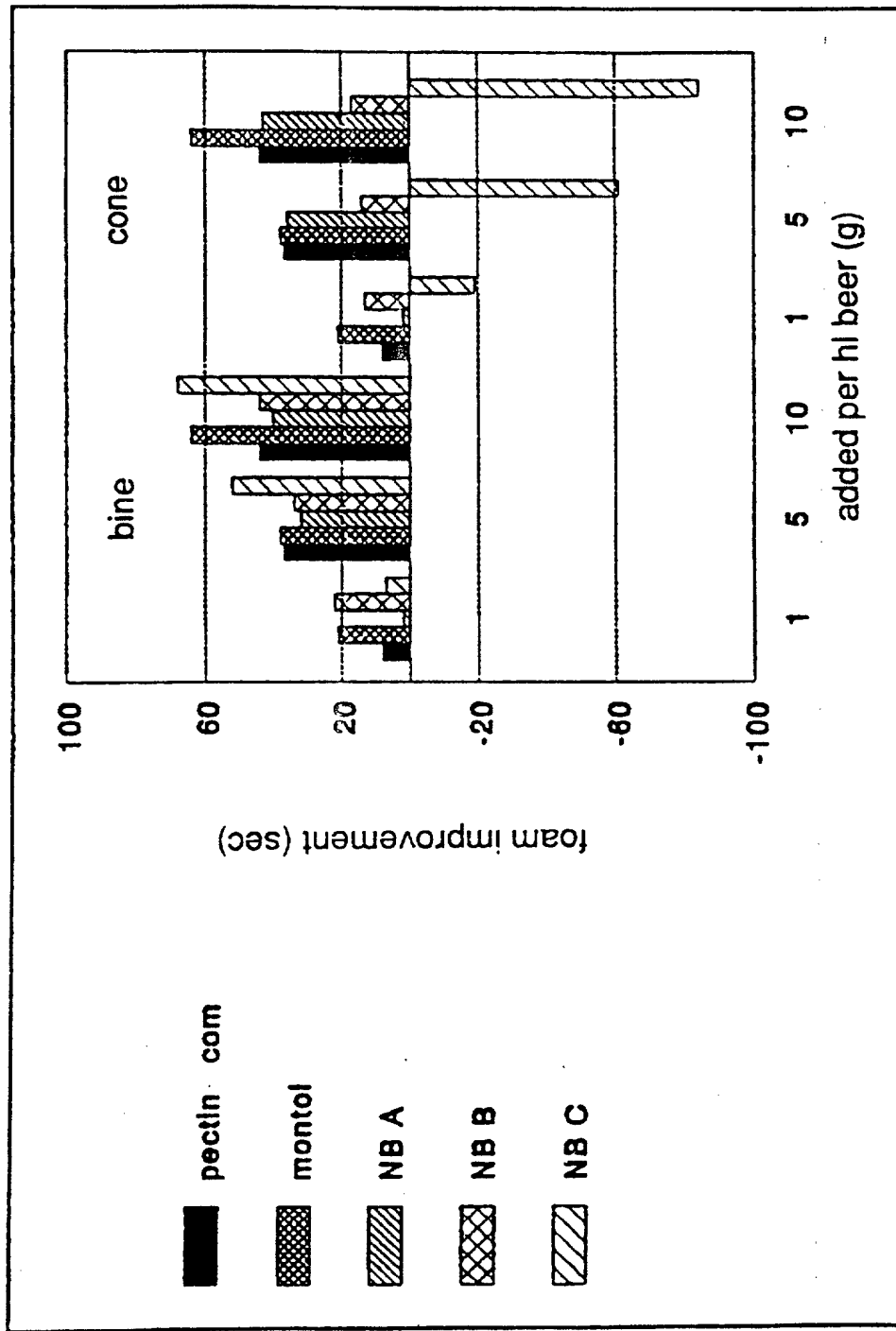


FIG.1

Purity (AUA contents) of the pectin fractions isolated from hops
(bines, cones and waste)

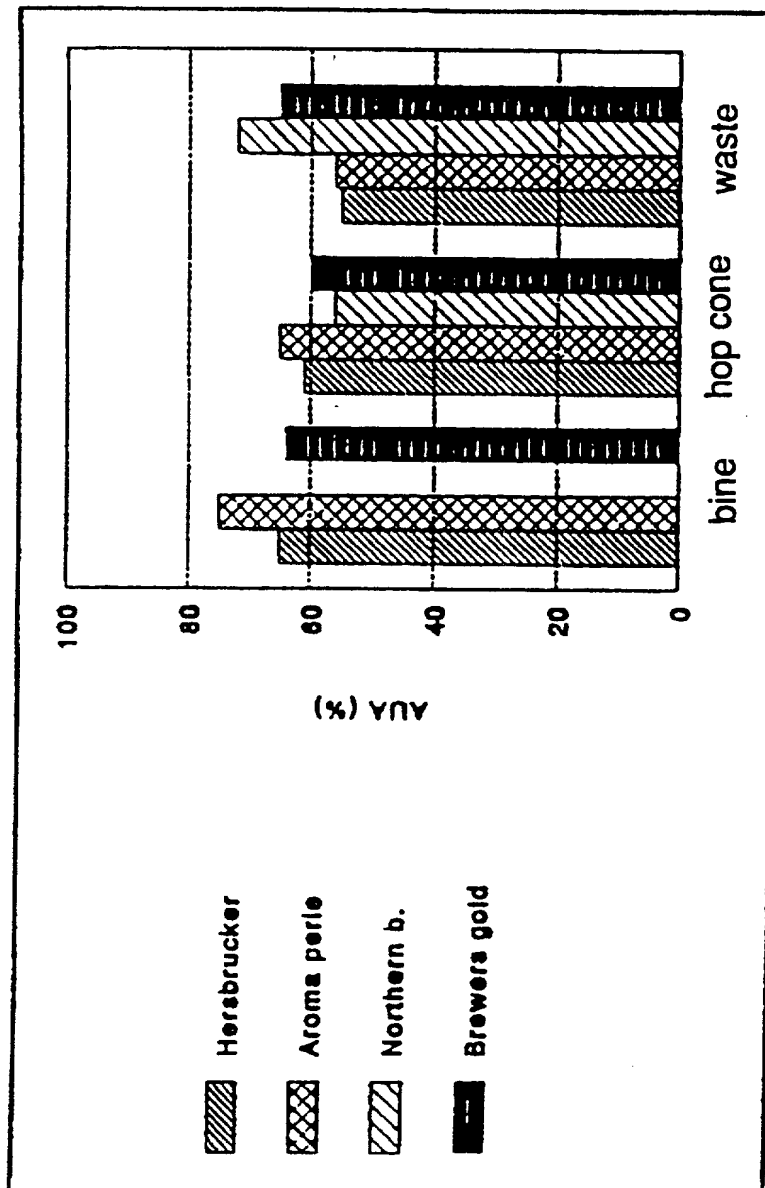


FIG. 2

Improvement of the foam stability of pilsner reference beer, after addition of hop pectin from waste and montol (60%)

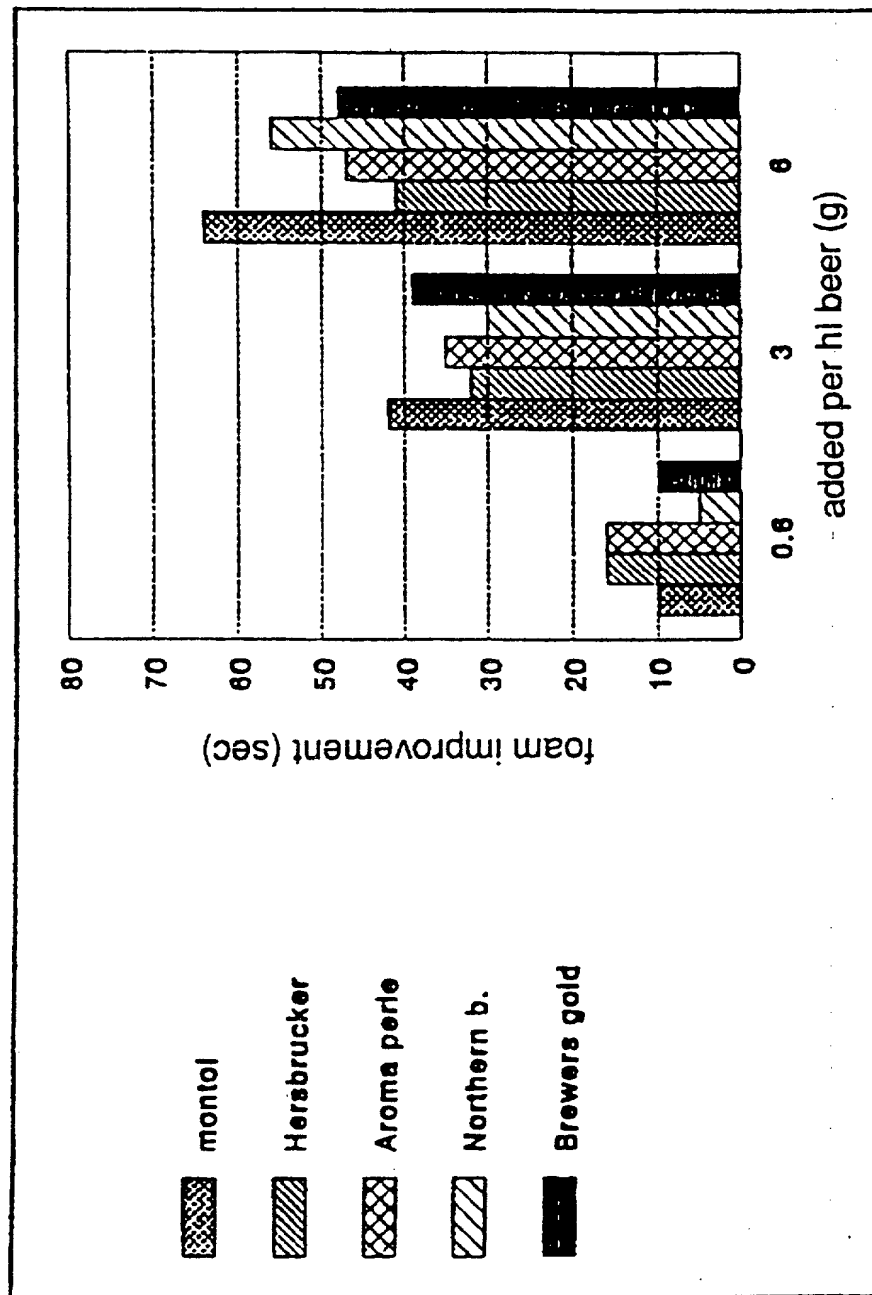


FIG. 3

Improvement of the foam stability of pilsner reference beer,
after addition of hop pectin from bines and montol (60%)
e

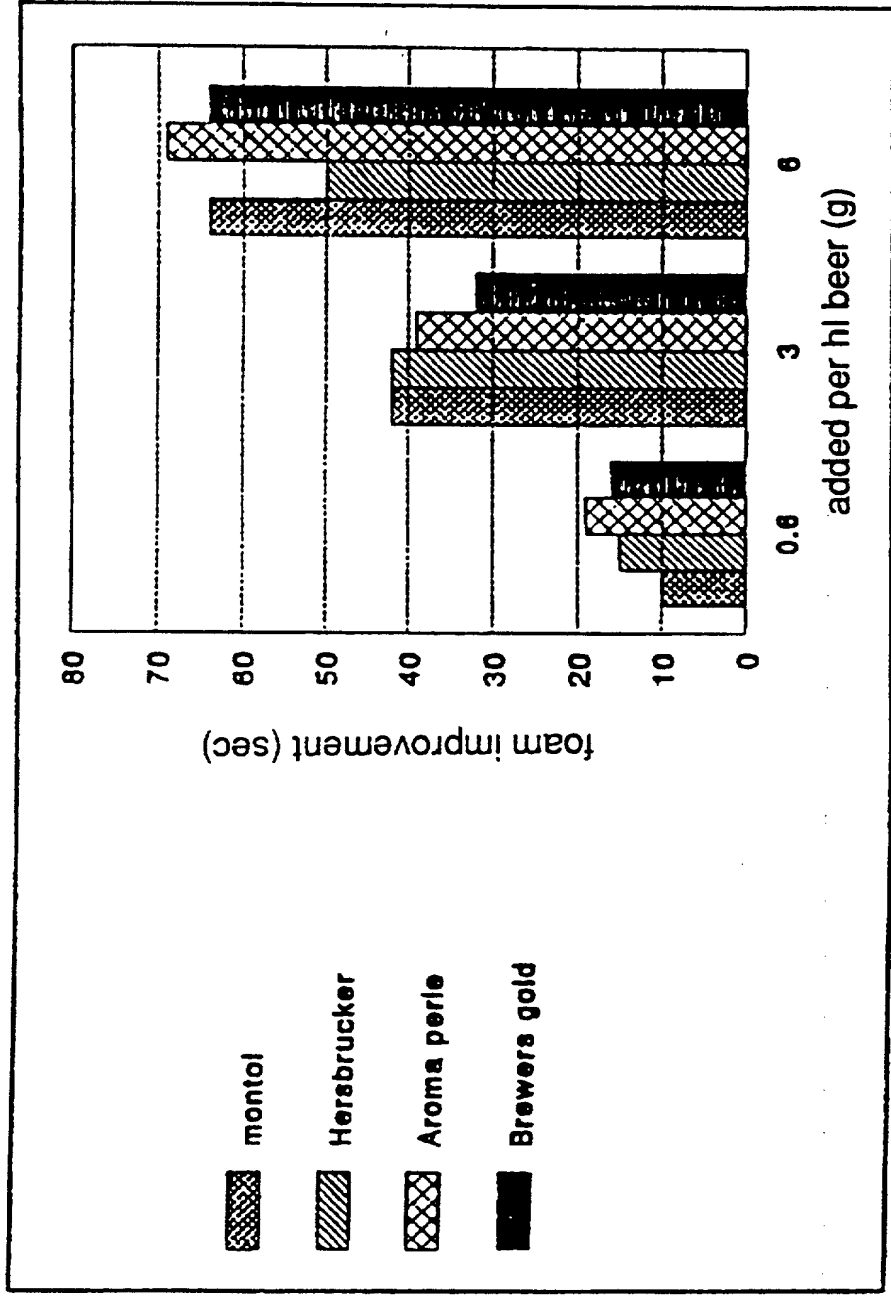


FIG.4

^e
Improvement of the foam stability of pilsner reference beer,
after addition of hop pectin from cones and montol (60%)

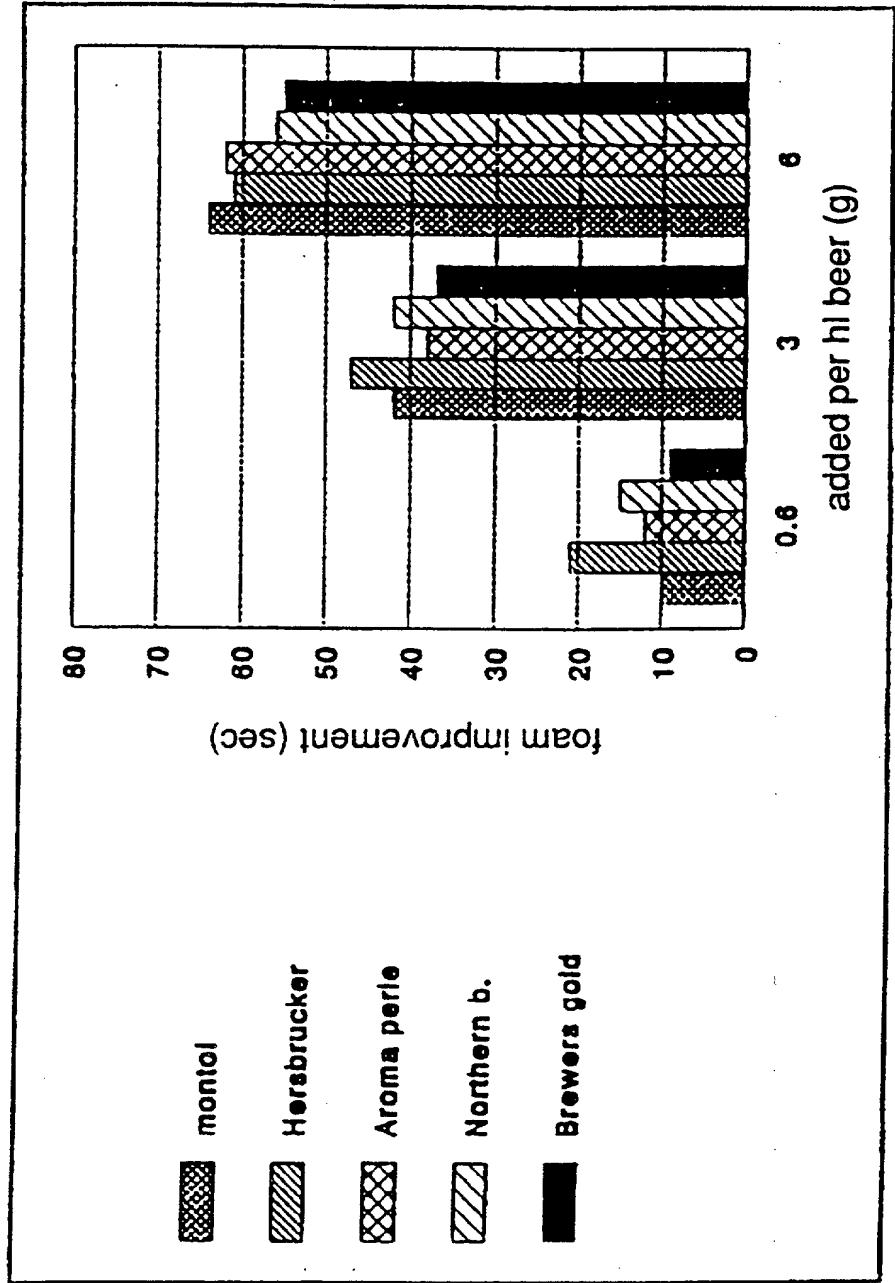


FIG.5

e
Improvement of the foam stability of pilsner reference beer, after
addition of hop pectin from bines (corrected) and montol (100%)

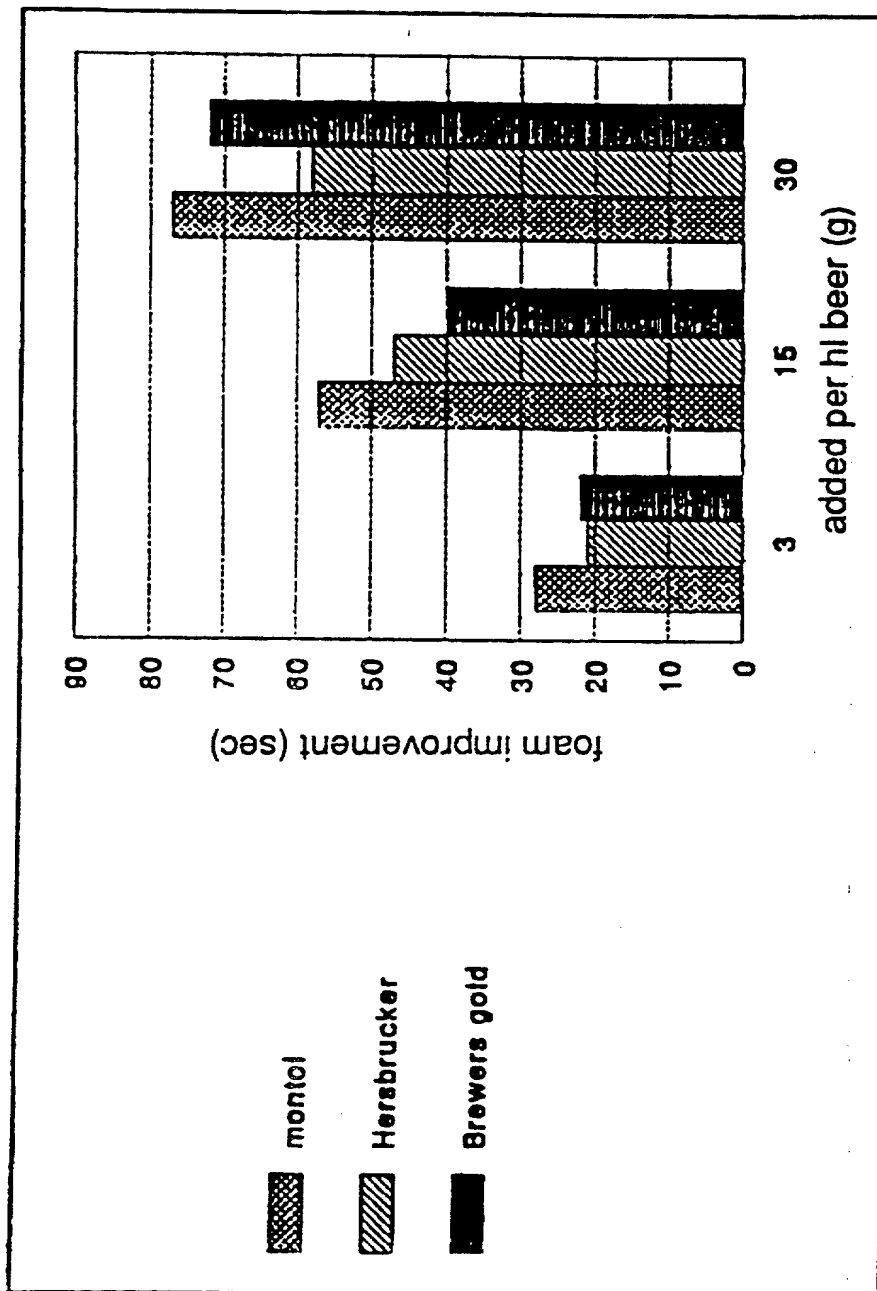


FIG.6

7-1/8



Fig. 7-1

08/776321.041597

7-2/8

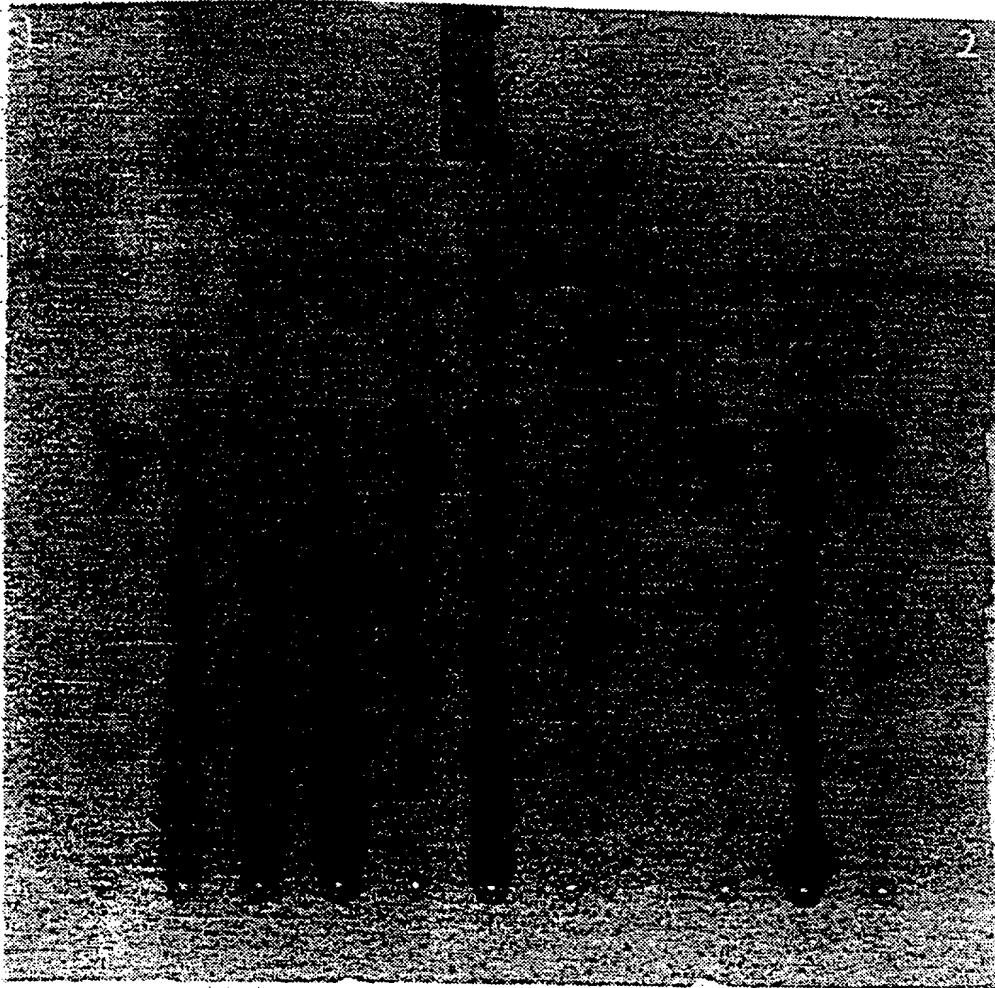


Fig. 7-2

Improvement of the foam stability of pilsner reference beer, after addition of hop pectin from residues of hexane extracts, ethanol extracts and CO₂ extracts, montol (100%), bine pectin, hop cone pectin and commercial pectin (100%)

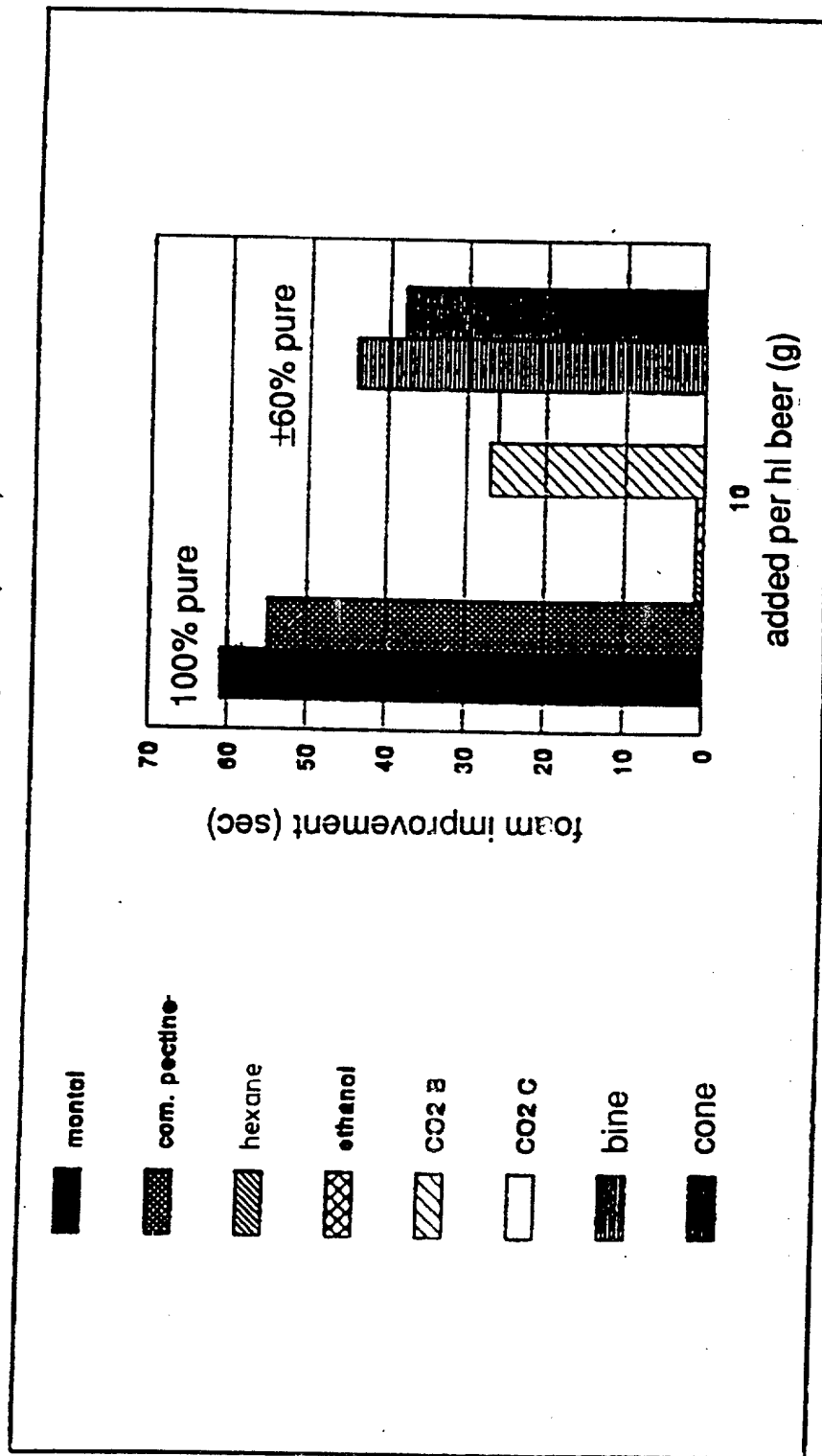


FIG.8